

Network-aware Demand Response in the Presence of Uncertainties

Gayan Chaminda Lankeshwara

Supervised by:

Dr. Rahul Sharma

Prof. Tapan Saha

Dr. Ruifeng Yan

December 2022

Power, Energy and Control Engineering Research Group School of Information Technology and Electrical Engineering The University of Queensland, Australia

- Introduction
- Motivations
- Objectives
- Main Contributions
- Conclusions
- Future Work
- Thesis timeline
- Publications

- Introduction
-
-
-
-
-
-
-

4

Global Energy Outlook

Non-conventional reserve provisions are essential to maintain network security and reliability.

Demand Response

Changes in electricity usage from nominal consumption in response to:

- Price signal
- Incentive payment

For power markets,

Consumer-centric approach

-
- Motivations
-
-
-
-
-
-

Network-aware DR schemes that account for uncertainties are vital for real-world implementation

Dynamic operating envelopes (DOE)

"Operating envelopes vary import and export limits over time and location based on the available capacity of the local network or power system as a whole." [1]

More emphasis on DOE for export power management

How feasible is it to adopt the DOE framework for DR applications?

-
-
- Objectives
-
-
-
-
-

- **1. To develop control strategies for residential DR to participate in grid services under uncertainties.**
- **2. To propose techniques to establish dynamic operating envelopes in low-voltage distribution networks to ensure network integrity.**
- **3. To develop network-aware control schemes for residential DR to participate in grid services under the dynamic operating envelopes framework.**

-
-
-
- Main Contributions
-
-
-
-

- **1. Centralised heuristic algorithms for residential DR to participate in grid services under uncertainties**
- **2. Centralised robust MPC scheme for residential DR to participate in grid services under uncertainties**
- **3. Distributed control framework for an aggregator to provide DR in real-time markets under uncertainties**
- **4. A real-time approach for the DNSP to assign dynamic export limits for households with rooftop PV connections**
- **5. A real-time approach for the DNSP to establish household operating envelopes that account for end-user flexibility**

6. A real-time coordinated scheme for residential DR in LV networks under the dynamic operating envelopes framework

Contribution 1: Centralised heuristic algorithms for residential DR to participate in grid services under uncertainties

The tracking performance increases as the population size (N_h) increases.

Contribution 1: Centralised heuristic algorithms for residential DR to participate in grid services under uncertainties

Thermal comfort is maintained in the event of a customer override event.

The tracking performance increases as the population size (N_h) increases.

** Simulations are performed on a computing facility equipped with an Intel(R) Xeon(R) CPI E5-2680 v3 @ 2.5 GHz with 32 GB memory

Sampling interval = 1-min

With $N_h = 1000$, total execution time < 3600 sec (1-hour)

Computational performance Comparison with *PeakSmart* **(existing approach)**

Approach is scalable. Proposed approach only controls a portion of ACs

Reduced control effort requirement

Contribution 2: Centralised robust MPC scheme for residential DR to participate in grid services under uncertainties

G. Lankeshwara, R. Sharma, R. Yan, and T. K. Saha, "Control of Residential Air-conditioning Loads to Provide Regulation Services under Uncertainties," in *IEEE Power and Energy Society General Meeting*, 2021, vol. 2021-July, pp. 1–5, doi: [10.1109/PESGM46819.2021.9637890.](https://doi.org/10.1109/PESGM46819.2021.9637890)

System model

Aggregate system obtained by stacking individual state space models

 $\mathbf{x}(t+1) = \mathbf{A}\mathbf{x}(t) + \mathbf{B}\mathbf{u}(k) + \mathbf{D}\mathbf{v}(k) + \mathbf{w}(k)$ $\mathbf{y}(k) = \mathbf{C}\mathbf{x}(k)$

 $\mathbf{x}(t) = [x_1(t), x_2(t), \dots, x_{N_h}(t)]^{\mathrm{T}} \in \mathbb{R}^{N_h}$ $\mathbf{u}(t) = [u_1(t), u_2(k), \dots, u_{N_h}(t)]^{\mathrm{T}} \in \mathbb{R}^{N_h}$ $\mathbf{v}(t) = [v_1(t), v_2(k), \dots, v_{N_h}(t)]^{\mathrm{T}} \in \mathbb{R}^{N_h}$ $\mathbf{w}(t) = [w_1(t), w_2(k), \dots, w_{N_h}(t)]^{\mathrm{T}} \in \mathbb{R}^{N_h}$

Indoor temperature Power consumption of ACs Nominal outdoor temperature Uncertainties

Derivation of the model with uncertainties

$$
T_i^{\text{room}}(t+1) = a_i \cdot T_i^{\text{room}}(t) + (1 - a_i) \cdot \left(T_i^{\text{outdoor}}(t) - \eta_i^{\text{AC}} \cdot R_i^{\text{AC}} \cdot P_i^{\text{AC}}(t) \right)
$$
\n
$$
R_i^{\text{AC}} = \tilde{R}_i^{\text{AC}} + \Delta R_i^{\text{AC}}
$$
\n\nBounds of uncertainty of thermal parameters
\n
$$
C_i^{\text{AC}} = \tilde{C}_i^{\text{AC}} + \Delta C_i^{\text{AC}}
$$
\n\n
$$
a_i = \exp\left(\frac{-\Delta t}{R_i^{\text{AC}} \cdot C_i^{\text{AC}}}\right)
$$
\n
$$
= \exp\left(\frac{-\Delta t}{(\tilde{R}_i^{\text{AC}} + \Delta R_i^{\text{AC}}) \cdot (\tilde{C}_i^{\text{AC}} + \Delta C_i^{\text{AC}})}\right)
$$
\n
$$
a_i = \exp\left(\frac{-\Delta t}{\tilde{R}_i^{\text{AC}} \cdot \tilde{C}_i^{\text{AC}} + \tilde{R}_i^{\text{AC}} \cdot \Delta C_i^{\text{AC}} + \tilde{C}_i^{\text{AC}} \cdot \Delta R_i^{\text{AC}} + \Delta R_i^{\text{AC}} \cdot \Delta C_i^{\text{AC}}}\right)
$$
\n
$$
a_i = \tilde{a}_i + \Delta a_i
$$
\n
$$
T_i^{\text{room}}(t+1) = \tilde{a}_i \cdot T_i^{\text{room}}(t) + (1 - \tilde{a}_i) \left(T_i^{\text{outdoor}}(t) - \eta_i^{\text{AC}} \cdot R_i^{\text{AC}} \cdot P_i^{\text{AC}}(t) \right) + \frac{w_i(k)}{w_i(k)}
$$

Embedding uncertainties in the model

$$
w_i(t) = (1 - \tilde{a}_i) \cdot (\Delta T_i^{\text{outdoor}}(t) - \eta_i^{\text{AC}} \cdot \Delta R_i^{\text{AC}} \cdot P_i^{\text{AC}}(t)) - \Delta a_i \cdot \left(T_i^{\text{outdoor}}(t) - \frac{\Delta T_i^{\text{outdoor}}(t)}{T_i^{\text{outdoor}}(t)} - \eta_i^{\text{AC}} \cdot (\tilde{R}_i^{\text{AC}} + \Delta R_i^{\text{AC}}) \cdot P_i^{\text{AC}}(t) \right)
$$

Individual state-space model with uncertainties

$$
x_i(t+1) = A_i x_i(t) + B_i u_i(t) + D_i v_i(t) + w_i(t)
$$

Approach

Centralised robust MPC implementation of the aggregate system

Population size $N_h = 1000$

Temperature comfort limits $(22,24)$ °C

Robust MPC controller

- Minimising aggregate tracking error
- Minimising the change in temperature from the set-point
- Minimising the control effort

 $\mathbf{x}(t+)$

$$
\min_{\mathbf{u}} \max_{\mathbf{w}} \sum_{k=0}^{N-1} \left[w_P \cdot \left| P_{\text{agg}}(t+k|t) - P_{\text{ref}}(t+k) \right| + w_x \cdot \left\| \mathbf{x}(t+k|t) - \mathbf{x}^{\text{set}} \right\|_1 + w_{\Delta u} \cdot \left\| \Delta \mathbf{u}(t+k|t) \right\|_1 \right]
$$

$$
k+1|t) = A \mathbf{x}(t+k|t) + B \mathbf{u}(t+k|t) + D \mathbf{v}(t+k|t) + \mathbf{w}(t+k|t), \quad \forall k \in \mathbb{Z}_{[0,N-1]}
$$
\n
$$
P_{agg}(t+k|t) = \mathbf{P}_{rated}^{\mathrm{T}} \cdot \mathbf{u}(t+k|t), \quad \forall k \in \mathbb{Z}_{[0,N-1]}
$$
\n
$$
\mathbf{\underline{x} \leq x}(t+k|t) \leq \overline{x}, \quad \forall k \in \mathbb{Z}_{[0,N-1]}
$$
\nIndoor temperature limits\n
$$
\Delta \mathbf{u}(t+k|t) = \mathbf{u}(t+k+1|t) - \mathbf{u}(t+k|t), \quad \forall k \in \mathbb{Z}_{[0,N-1]}
$$
\nand\n
$$
u_i(t+k|t) = \begin{cases}\n0.5 \cdot P_{i,\text{rated}}^{\mathrm{AC}} & \forall i, \forall k \in \mathbb{Z}_{[0,N-1]} \\
0.75 \cdot P_{i,\text{rated}}^{\mathrm{AC}} & \forall i, \forall k \in \mathbb{Z}_{[0,N-1]} \\
P_{i,\text{rated}}^{\mathrm{AC}} & \forall k, \forall k \in \mathbb{Z}_{[0,N-1]} \\
\hline \mathbf{w}(t+k|t) \subseteq \overline{\mathbb{W}}, \quad \forall k \in \mathbb{Z}_{[0,N-1]} \\
\end{cases}
$$
\nDRM compliance

 $\mathbb{W} = {\mathbf{w}: ||\mathbf{w}||_{\infty} \leq \mathbf{w}_0}$

Results

Accurate tracking can be achieved in the presence of uncertainties while regulating the operation within thermal comfort limits.

Minimum control action on air-conditioners operating under AS 4755.3 DR standards.

Tracking performance under tightened temperature limits

Tracking performance is compromised under tight temperature comfort limits in the presence of uncertainties.

Contribution 3: Distributed control framework for an aggregator to provide DR in real-time markets under uncertainties

G. Lankeshwara, R. Sharma, R. Yan, and T. K. Saha, "A hierarchical control scheme for residential air-conditioning loads to provide real-time market services under uncertainties," *Energy (Elsevier)*, vol. 250, p. 123796, 2022, doi: [10.1016/j.energy.2022.123796](https://doi.org/10.1016/j.energy.2022.123796).

G. Lankeshwara and R. Sharma, "A Model-based Approach for the Robust Automation of Residential Loads to Provide Grid Services," International Journal of Control (Taylor & Francis), (first revision) 24

Contribution 3: Distributed control framework for an aggregator to provide DR in real-time markets under uncertainties

Approach

Data

- 500 air conditioners
- $P_{rated} \sim \mathcal{N}(2.5, 3.5)$ kW
- Thermal comfort limits: $[22, 24]$ °C
- Sampling time = 5-mins

Three scenarios:

- 1. Nominal case no uncertainties
- 2. With outdoor temperature bounds $(\hat{v}) = 0.5$ °C
- 3. With outdoor temperature bounds $(\hat{v}) = 1.0^{\circ}C$

Results

Precise tracking of the load set-point signal up to outdoor temperature within ± 1 . 0° C from its nominal **value.**

with $\hat{v} = 1.0$ °C

28 Indoor thermal comfort is preserved within $(22, 24)°C$ in the presence of uncertainties up to $\pm 1.0°C$ of **outdoor temperature from its nominal value.**

Computational performance (for a DR duration of 2-hours)

** Simulations are performed on a computing facility equipped with an Intel(R) Xeon(R) CPI E5-2680 v3 @ 2.5 GHz with 64 GB memory (parallel execution of the local controller problem)

Sampling time = 5-mins

Total execution time < 2-hours (120-mins)

The overall hierarchical implementation is scalable in the presence of uncertainties.

Contribution 4: A real-time approach for the DNSP to assign dynamic export limits for households with rooftop PV connections

G. Lankeshwara, "A Real-time Control Approach to Maximise the Utilisation of Rooftop PV Using Dynamic Export Limits," in *2021 IEEE PES Innovative Smart Grid Technologies - Asia (ISGT Asia)*, Dec. 2021, pp. 1–5, doi: [10.1109/ISGTAsia49270.2021.9715714](https://doi.org/10.1109/ISGTAsia49270.2021.9715714).

Approach

A block diagram of the overall implementation

Dynamic export limits via AC-OPF

Minimising the deviation of PV active power from the intended operation

$$
\mathbf{F}(t) = \sum_{h \in \mathcal{H}'} \left(P_h^{\text{PV}}(t) - \tilde{P}_h^{\text{PV}}(t) \right)^2
$$

$$
0 \le P_h^{\text{PV}}(t) \le \tilde{P}_h^{\text{PV}}(t)
$$

$$
Q_h^{\text{PV}}(t) = 0
$$

$$
\tilde{Q}_h^{\text{L}}(t) = \tilde{P}_h^{\text{L}}(t) \cdot \tan\left(\cos^{-1}(\varphi_h^{\text{L}})\right)
$$

$$
P_i^{\text{inj}}(t) = \text{Re}(V_i(t)) \sum_{j \in \mathcal{N} \cup \{0\}} \left[G_{ij} \text{Re}(V_j(t)) - B_{ij} \text{Im}(V_j(t)) \right]
$$

$$
+ \text{Im}(V_i(t)) \sum_{j \in \mathcal{N} \cup \{0\}} \left[G_{ij} \text{Im}(V_j(t)) + B_{ij} \text{Re}(V_j(t)) \right]
$$

$$
Q_i^{\text{inj}}(t) = \text{Im}(V_i(t)) \sum_{j \in \mathcal{N} \cup \{0\}} \left[G_{ij} \text{Re}(V_j(t)) - B_{ij} \text{Im}(V_j(t)) \right]
$$

$$
- \text{Re}(V_i(t)) \sum_{j \in \mathcal{N} \cup \{0\}} \left[G_{ij} \text{Im}(V_j(t)) + B_{ij} \text{Re}(V_j(t)) \right]
$$

$$
\forall i \in \mathcal{N}, t \in \mathcal{T}
$$

$$
P_i^{\text{inj}}(t) = P_h^{\text{PV}}(t) - \tilde{P}_h^{\text{L}}(t), \quad i \in \mathcal{N}', h \in \mathcal{H}'
$$

$$
Q_i^{\text{inj}}(t) = Q_h^{\text{PV}}(t) - \tilde{Q}_h^{\text{L}}(t), \quad i \in \mathcal{N}', h \in \mathcal{H}'
$$

Results

• Sampling time = 5-mins

Three scenarios:

- No export limits
- With fixed export limits (5-kW)
- With dynamic export limits

Single Line Diagram of the LV network

Computational performance (24-hour period)

** Simulations are performed on desktop computer equipped with an Intel(R) Core i7 3.20 GHz CPU and 16 GB RAM memory.

The proposed approach is scalable under 5-min dispatch intervals.

Under the proposed dynamic envelopes framework, end-users can export more power to the grid without violating voltage limits.

Contribution 5: A real-time approach for the DNSP to establish household operating envelopes that account for end-user flexibility

Results

Overall feasible operating region for 24-hours

As PV generation ↑, the operating envelope widens.

Feasible operating region expands → Household flexibility ↑

36 Able to go beyond the fixed 5-kW export limit!

Behaviour of aggregate envelope

As PV generation ↑, aggregate envelope also expands

Smooth compared to household operating envelopes

Overall flexibility at the head of the feeder (approx.)

```
-200 < P_{inj} < 350 kW-400 < Q_{inj} < 250 kV ar
```
Aggregate envelopes are helpful for the aggregator in the network-aware market bidding process.

Contribution 6: A real-time coordinated scheme for residential DR in LV networks under the dynamic operating envelopes framework

Contribution 6: A real-time coordinated scheme for residential DR in LV networks under the dynamic operating envelopes framework

THE UNIVERSITY OF OUEENSLAND

Workstation

Software-in-the-loop (SIL) setup

Network model in RSCAD FX 1.3.1

MATLAB script for communicating with RTDS

RTDS chassis (NovaCor processor card + GTNETx2 card)

Results

- A realistic residential LV network (102 households)
- Types of customers
	- ➢ *Passive* **56**
	- ➢ *DOE* (only participate in DR) **30**
	- ➢ *Non-DOE* (5-kW export limits) **16**

Sampling time = **5-mins** (aligned with AEMO's operation)

Tracking performance (DR period = 2-hours)

Precise tracking of the load set-point is achieved.

Single Line Diagram of the LV network

Air-conditioner power profile

The overall approach preserves thermal comfort for DR customers

Voltage profile of selected nodes (software-in-the-loop simulation)

The voltage profile is maintained with statutory limits for the DR period.

-
-
-
-
- Conclusions
-
-
-

- Through effective **uncertainty mitigation techniques**, DR could provide accurate load set-point tracking in electricity markets.
- Factors such as **scalability**, **end-user data privacy** should also be paid attention in developing control schemes for aggregation of residential loads in DR services under uncertainties.
- Dynamic operating envelopes that specify **end-user feasible operating region** without compromising voltage limits are useful for the aggregator in determining **flexibility** in electricity markets.
- With **adequate coordination between the aggregator and the DNSP**, dynamic operating envelopes could be utilised for providing DR services without breaching network technical limits.

-
-
-
-
-
- Future Work
-
-

- DR control schemes robust against **communication failures**
- Contribution of **battery storage** and **electric vehicles** to the dynamic operating envelopes framework
- Establishing operating envelopes for household connections under **demand and generation uncertainties**
- Effect of **controllability** and **geographical distribution of loads** on the performance of DR under the DOE framework
- Effect of **demand composition** of household loads in the provision of DR in lowvoltage residential networks

-
-
-
-
-
-
- Thesis timeline

-
-
-
-
-
-
-
- Publications

Peer-reviewed Journals:

- G. Lankeshwara, R. Sharma, R. Yan, and T. K. Saha, "Control algorithms to mitigate the effect of uncertainties in residential demand management," *Applied Energy (Elsevier)*, vol. 306, p. 117971, 2022, doi: [10.1016/j.apenergy.2021.117971.](https://doi.org/10.1016/j.apenergy.2021.117971)
- G. Lankeshwara, R. Sharma, R. Yan, and T. K. Saha, "A hierarchical control scheme for residential air-conditioning loads to provide real-time market services under uncertainties," *Energy (Elsevier)*, vol. 250, p. 123796, 2022, doi: [10.1016/j.energy.2022.123796](https://doi.org/10.1016/j.energy.2022.123796).
- G. Lankeshwara and R. Sharma, "A Model-based Approach for the Robust Automation of Residential Loads to Provide Grid Services," *International Journal of Control (Taylor & Francis),* **(first revision)**
- G. Lankeshwara, R. Sharma, R. Yan, and T. K. Saha, "Operating Envelopes to Manage Low-voltage Distribution Networks," (**to be submitted to** *IEEE Transactions on Power Systems)*

Peer-reviewed Conference Papers:

- G. Lankeshwara, R. Sharma, R. Yan, and T. K. Saha, "Control of Residential Air-conditioning Loads to Provide Regulation Services under Uncertainties," in *IEEE Power and Energy Society General Meeting*, 2021, vol. 2021-July, pp. 1–5, doi: [10.1109/PESGM46819.2021.9637890.](https://doi.org/10.1109/PESGM46819.2021.9637890)
- G. Lankeshwara, "A Real-time Control Approach to Maximise the Utilisation of Rooftop PV Using Dynamic Export Limits," in *2021 IEEE PES Innovative Smart Grid Technologies - Asia (ISGT Asia)*, Dec. 2021, pp. 1–5, doi: [10.1109/ISGTAsia49270.2021.9715714](https://doi.org/10.1109/ISGTAsia49270.2021.9715714).

Thank you

Acknowledgement: Centre for Energy Data Innovation (CEDI) The University of Queensland and Redback Technologies

