

Network-aware Demand Response in the Presence of Uncertainties

Gayan Chaminda Lankeshwara

Supervised by:

Dr. Rahul Sharma

Prof. Tapan Saha

Dr. Ruifeng Yan

December 2022

Power, Energy and Control Engineering Research Group School of Information Technology and Electrical Engineering The University of Queensland, Australia



- Introduction
- Motivations
- Objectives
- Main Contributions
- Conclusions
- Future Work
- Thesis timeline
- Publications



- Introduction
- Motivations
- Objectives
- Main Contributions
- Conclusions
- Future Work
- Thesis timeline
- Publications



Global Energy Outlook



Non-conventional reserve provisions are essential to maintain network security and reliability.



Demand Response



Consumer-centric approach

Changes in electricity usage from nominal consumption in response to:

- Price signal
- Incentive payment

For power markets,



Demand response availability at times of highest flexibility needs and share in total flexibility provision



- Introduction
- Motivations
- Objectives
- Main Contributions
- Conclusions
- Future Work
- Thesis timeline
- Publications





Network-aware DR schemes that account for uncertainties are vital for real-world implementation

Motivations



Dynamic operating envelopes (DOE)



"Operating envelopes vary import and export limits over time and location based on the available capacity of the local network or power system as a whole." [1]

More emphasis on DOE for export power management

How feasible is it to adopt the DOE framework for DR applications?



- Introduction
- Motivations
- Objectives
- Main Contributions
- Conclusions
- Future Work
- Thesis timeline
- Publications





- 1. To develop control strategies for residential DR to participate in grid services under uncertainties.
- 2. To propose techniques to establish dynamic operating envelopes in low-voltage distribution networks to ensure network integrity.
- 3. To develop network-aware control schemes for residential DR to participate in grid services under the dynamic operating envelopes framework.



- Introduction
- Motivations
- Objectives
- Main Contributions
- Conclusions
- Future Work
- Thesis timeline
- Publications





- 1. Centralised heuristic algorithms for residential DR to participate in grid services under uncertainties
- 2. Centralised robust MPC scheme for residential DR to participate in grid services under uncertainties
- 3. Distributed control framework for an aggregator to provide DR in real-time markets under uncertainties
- 4. A real-time approach for the DNSP to assign dynamic export limits for households with rooftop PV connections
- 5. A real-time approach for the DNSP to establish household operating envelopes that account for end-user flexibility

6. A real-time coordinated scheme for residential DR in LV networks under the dynamic operating envelopes framework



Contribution 1: Centralised heuristic algorithms for residential DR to participate in grid services under uncertainties











The tracking performance increases as the population size (N_h) increases.

Contribution 1: Centralised heuristic algorithms for residential DR to participate in grid services under uncertainties





Thermal comfort is maintained in the event of a customer override event.





The tracking performance increases as the population size (N_h) increases.



Computational performance

Number of houses	Total execution time (sec)				
(N_h)	customer override		set-point change		
	without-discrete	with-discrete	without-discrete	with-discrete	
100 1000	55.22 188.6	142.8 2857	14.52 176.0	213.5 2823	

** Simulations are performed on a computing facility equipped with an Intel(R) Xeon(R) CPI E5-2680 v3 @ 2.5 GHz with 32 GB memory

Sampling interval = 1-min

With $N_h = 1000$, total execution time < 3600 sec (1-hour)

Approach is scalable.

Comparison with PeakSmart (existing approach)



Proposed approach only controls a portion of ACs

Reduced control effort requirement



Contribution 2: Centralised robust MPC scheme for residential DR to participate in grid services under uncertainties



G. Lankeshwara, R. Sharma, R. Yan, and T. K. Saha, "Control of Residential Air-conditioning Loads to Provide Regulation Services under Uncertainties," in IEEE Power and Energy Society General Meeting, 2021, vol. 2021-July, pp. 1–5, doi: 10.1109/PESGM46819.2021.9637890.



System model



Aggregate system obtained by stacking individual state space models

 $\mathbf{x}(t+1) = \mathbf{A}\mathbf{x}(t) + \mathbf{B}\mathbf{u}(k) + \mathbf{D}\mathbf{v}(k) + \mathbf{w}(k)$ $\mathbf{y}(k) = \mathbf{C}\mathbf{x}(k)$

 $\mathbf{x}(t) = \begin{bmatrix} x_1(t), x_2(t) \dots, x_{N_h}(t) \end{bmatrix}^{\mathrm{T}} \in \mathbb{R}^{N_h}$ $\mathbf{u}(t) = \begin{bmatrix} u_1(t), u_2(k), \dots, u_{N_h}(t) \end{bmatrix}^{\mathrm{T}} \in \mathbb{R}^{N_h}$ $\mathbf{v}(t) = \begin{bmatrix} v_1(t), v_2(k), \dots, v_{N_h}(t) \end{bmatrix}^{\mathrm{T}} \in \mathbb{R}^{N_h}$ $\mathbf{w}(t) = \begin{bmatrix} w_1(t), w_2(k), \dots, w_{N_h}(t) \end{bmatrix}^{\mathrm{T}} \in \mathbb{R}^{N_h}$

Indoor temperature Power consumption of ACs Nominal outdoor temperature Uncertainties

Derivation of the model with uncertainties

$$\begin{split} T_{i}^{\text{room}}(t+1) &= a_{i} \cdot T_{i}^{\text{room}}(t) + (1-a_{i}) \cdot \left(T_{i}^{\text{outdoor}}(t) - \eta_{i}^{\text{AC}} \cdot R_{i}^{\text{AC}} \cdot P_{i}^{\text{AC}}(t)\right) \\ \hline R_{i}^{\text{AC}} &= \tilde{R}_{i}^{\text{AC}} + \Delta R_{i}^{\text{AC}} \\ C_{i}^{\text{AC}} &= \tilde{C}_{i}^{\text{AC}} + \Delta C_{i}^{\text{AC}} \\ \hline C_{i}^{\text{AC}} &= \tilde{C}_{i}^{\text{AC}} + \Delta C_{i}^{\text{AC}} \\ a_{i} &= \exp\left(\frac{-\Delta t}{R_{i}^{\text{AC}} \cdot C_{i}^{\text{AC}}}\right) \\ &= \exp\left(\frac{-\Delta t}{(\tilde{R}_{i}^{\text{AC}} + \Delta R_{i}^{\text{AC}}) \cdot (\tilde{C}_{i}^{\text{AC}} + \Delta C_{i}^{\text{AC}})}\right) \\ a_{i} &= \exp\left(\frac{-\Delta t}{\tilde{R}_{i}^{\text{AC}} \cdot \tilde{C}_{i}^{\text{AC}} + \tilde{R}_{i}^{\text{AC}} \cdot \Delta C_{i}^{\text{AC}} + \tilde{C}_{i}^{\text{AC}} \cdot \Delta R_{i}^{\text{AC}} + \Delta R_{i}^{\text{AC}} \cdot \Delta C_{i}^{\text{AC}}}\right) \\ a_{i} &= \exp\left(\frac{-\Delta t}{\tilde{R}_{i}^{\text{AC}} \cdot \tilde{C}_{i}^{\text{AC}} + \tilde{R}_{i}^{\text{AC}} \cdot \Delta C_{i}^{\text{AC}} + \tilde{C}_{i}^{\text{AC}} \cdot \Delta R_{i}^{\text{AC}} + \Delta R_{i}^{\text{AC}} \cdot \Delta C_{i}^{\text{AC}}}\right) \\ a_{i} &= \tilde{a}_{i} + \Delta a_{i} \\ T_{i}^{\text{room}}(t+1) &= \tilde{a}_{i} \cdot T_{i}^{\text{room}}(t) + (1 - \tilde{a}_{i}) \left(T_{i}^{\text{outdoor}}(t) - \eta_{i}^{\text{AC}} \cdot R_{i}^{\text{AC}} \cdot P_{i}^{\text{AC}}(t)\right) + w_{i}(k) \end{split}$$

Embedding uncertainties in the model

$$w_{i}(t) = (1 - \tilde{a}_{i}) \cdot \left(\Delta T_{i}^{\text{outdoor}}(t) - \eta_{i}^{\text{AC}} \cdot \Delta R_{i}^{\text{AC}} \cdot P_{i}^{\text{AC}}(t)\right) -\Delta a_{i} \cdot \left(T_{i}^{\text{outdoor}}(t) - \Delta T_{i}^{\text{outdoor}}(t) - \eta_{i}^{\text{AC}} \cdot (\tilde{R}_{i}^{\text{AC}} + \Delta R_{i}^{\text{AC}}) \cdot P_{i}^{\text{AC}}(t)\right)$$

Individual state-space model with uncertainties $x_i(t+1) = A_i x_i(t) + B_i u_i(t) + D_i v_i(t) + w_i(t)$



Approach



Centralised robust MPC implementation of the aggregate system

Population size $N_h = 1000$

Temperature comfort limits (22,24)°C

Robust MPC controller

- Minimising aggregate tracking error
- Minimising the change in temperature from the set-point
- Minimising the control effort

$$\min_{\mathbf{u}} \max_{\mathbf{w}} \sum_{k=0}^{N-1} \left[w_P \cdot \left| P_{\text{agg}}(t+k|t) - P_{\text{ref}}(t+k) \right| + w_x \cdot \left\| \mathbf{x}(t+k|t) - \mathbf{x}^{\text{set}} \right\|_1 + w_{\Delta u} \cdot \left\| \Delta \boldsymbol{u}(t+k|t) \right\|_1 \right]$$

$$\begin{split} \mathbf{x}(t+k+1|t) &= A \, \mathbf{x}(t+k|t) + B \, \mathbf{u}(t+k|t) + D \, \mathbf{v}(t+k|t) + \mathbf{w}(t+k|t), \quad \forall k \in \mathbb{Z}_{[0,N-1]} \\ P_{\text{agg}}(t+k|t) &= \mathbf{P}_{\text{rated}}^{\text{T}} \cdot \mathbf{u}(t+k|t), \quad \forall k \in \mathbb{Z}_{[0,N-1]} \\ \hline \underline{x} \leq \mathbf{x}(t+k|t) \leq \overline{\mathbf{x}}, \quad \forall k \in \mathbb{Z}_{[0,N-1]} \\ \text{Indoor temperature limits} \\ \mathbf{\Delta u}(t+k|t) &= \mathbf{u}(t+k+1|t) - \mathbf{u}(t+k|t), \quad \forall k \in \mathbb{Z}_{[0,N-1]} \\ u_i(t+k|t) &= \begin{cases} 0.5 \cdot P_{i,\text{rated}}^{\text{AC}} \\ 0.75 \cdot P_{i,\text{rated}}^{\text{AC}} \\ P_{i,\text{rated}}^{\text{AC}} \end{cases} \quad \forall i, \forall k \in \mathbb{Z}_{[0,N-1]} \\ \text{DRM compliance} \\ \hline \mathbf{w}(t+k|t) \subseteq \mathbb{W}, \quad \forall k \in \mathbb{Z}_{[0,N-1]} \\ \end{bmatrix} \end{split}$$

 $\mathbb{W} = \{\mathbf{w} : \|\mathbf{w}\|_{\infty} \le \mathbf{w}_0\}$



Results



Accurate tracking can be achieved in the presence of uncertainties while regulating the operation within thermal comfort limits.

Minimum control action on air-conditioners operating under AS 4755.3 DR standards.



Tracking performance under tightened temperature limits



Tracking performance is compromised under tight temperature comfort limits in the presence of uncertainties.



Contribution 3: Distributed control framework for an aggregator to provide DR in real-time markets under uncertainties



G. Lankeshwara, R. Sharma, R. Yan, and T. K. Saha, "A hierarchical control scheme for residential air-conditioning loads to provide real-time market services under uncertainties," Energy (Elsevier), vol. 250, p. 123796, 2022, doi: 10.1016/j.energy.2022.123796.

G. Lankeshwara and R. Sharma, "A Model-based Approach for the Robust Automation of Residential Loads to Provide Grid Services," International Journal of Control (Taylor & Francis), (first revision) 24

Contribution 3: Distributed control framework for an aggregator to provide DR in real-time markets under uncertainties



Approach







Data

- 500 air conditioners
- $P_{rated} \sim \mathcal{N}(2.5, 3.5) \text{ kW}$
- Thermal comfort limits: [22, 24]°C
- Sampling time = 5-mins

Three scenarios:

- 1. Nominal case no uncertainties
- 2. With outdoor temperature bounds $(\hat{v}) = 0.5^{\circ}C$
- 3. With outdoor temperature bounds (\hat{v}) = 1.0°C



²⁶



Results



Precise tracking of the load set-point signal up to outdoor temperature within $\pm 1.0^{\circ}C$ from its nominal value.





with $\hat{v} = 1.0^{\circ}C$

Indoor thermal comfort is preserved within $(22, 24)^{\circ}C$ in the presence of uncertainties up to $\pm 1.0^{\circ}C$ of outdoor temperature from its nominal value.



Computational performance (for a DR duration of 2-hours)

Population size	Nominal scenario [min]	Under uncertainties [min]	
		$\hat{v} = 0.5^{\circ}C$	$\hat{v} = 1.0^{\circ}C$
100	4.748	5.135	5.478
500	19.85	20.31	20.31
1000	39.51	40.38	40.23

** Simulations are performed on a computing facility equipped with an Intel(R) Xeon(R) CPI E5-2680 v3 @ 2.5 GHz with 64 GB memory (parallel execution of the local controller problem)

Sampling time = 5-mins

Total execution time < 2-hours (120-mins)

The overall hierarchical implementation is scalable in the presence of uncertainties.



Contribution 4: A real-time approach for the DNSP to assign dynamic export limits for households with rooftop PV connections



G. Lankeshwara, "A Real-time Control Approach to Maximise the Utilisation of Rooftop PV Using Dynamic Export Limits," in 2021 IEEE PES Innovative Smart Grid Technologies - Asia (ISGT Asia), Dec. 2021, pp. 1–5, doi: 10.1109/ISGTAsia49270.2021.9715714.



Approach



A block diagram of the overall implementation

Dynamic export limits via AC-OPF

Minimising the deviation of PV active power from the intended operation $\mathbf{F}(t) = \sum_{h \in \mathcal{H}'} \left(P_h^{\rm PV}(t) - \tilde{P}_h^{\rm PV}(t) \right)^2$ $0 \le P_h^{\rm PV}(t) \le \tilde{P}_h^{\rm PV}(t)$ $Q_h^{\rm PV}(t) = 0$ $\tilde{Q}_{h}^{\mathrm{L}}(t) = \tilde{P}_{h}^{\mathrm{L}}(t) \cdot \tan\left(\cos^{-1}\left(\varphi_{h}^{\mathrm{L}}\right)\right)$ $P_i^{\text{inj}}(t) = \mathbf{Re}\big(V_i(t)\big) \sum_{j \in \mathcal{N}' \cup \{0\}} \big[G_{ij} \, \mathbf{Re}\big(V_j(t)\big) - B_{ij} \, \mathbf{Im}\big(V_j(t)\big)\big]$ + $\operatorname{Im}(V_i(t)) \sum_{j \in \mathcal{N}' \cup \{0\}} \left[G_{ij} \operatorname{Im}(V_j(t)) + B_{ij} \operatorname{Re}(V_j(t)) \right]$ Non-convex • $Q_i^{\text{inj}}(t) = \operatorname{Im}(V_i(t)) \sum_{j \in \mathcal{N}' \cup \{0\}} \left[G_{ij} \operatorname{Re}(V_j(t)) - B_{ij} \operatorname{Im}(V_j(t)) \right]$ $-\operatorname{\mathbf{Re}}(V_i(t))\sum_{j\in\mathcal{N}'\cup\{0\}}\left[G_{ij}\operatorname{\mathbf{Im}}(V_j(t))+B_{ij}\operatorname{\mathbf{Re}}(V_j(t))\right]$ $\forall i \in \mathcal{N}', t \in \mathcal{T}$ $P_i^{\text{inj}}(t) = P_h^{\text{PV}}(t) - \tilde{P}_h^{\text{L}}(t), \quad i \in \mathcal{N}', h \in \mathcal{H}'$ $Q_i^{\text{inj}}(t) = Q_h^{\text{PV}}(t) - \tilde{Q}_h^{\text{L}}(t), \quad i \in \mathcal{N}', h \in \mathcal{H}'$

 $\frac{\underline{v} \leq |V_i(t)| \leq \overline{v}}{\underline{\theta} \leq \angle V_i(t) \leq \overline{\theta}}$ Voltage limits



Results

• Sampling time = 5-mins

Three scenarios:

- No export limits
- With fixed export limits (5-kW)
- With dynamic export limits



Single Line Diagram of the LV network

Computational performance (24-hour period)

Scenario	Total execution time (sec)		
No export limits	8.82		
Fixed export limits	8.89		
Dynamic export limits	110.56		

** Simulations are performed on desktop computer equipped with an Intel(R) Core i7 3.20 GHz CPU and 16 GB RAM memory.

The proposed approach is scalable under 5-min dispatch intervals.





Under the proposed dynamic envelopes framework, end-users can export more power to the grid without violating voltage limits.



Contribution 5: A real-time approach for the DNSP to establish household operating envelopes that account for end-user flexibility









Results

Overall feasible operating region for 24-hours



As PV generation 1, the operating envelope widens.

Feasible operating region expands → Household flexibility ↑



Able to go beyond the fixed 5-kW export limit! 36



Behaviour of aggregate envelope



As PV generation 1, aggregate envelope also expands

Smooth compared to household operating envelopes

Overall flexibility at the head of the feeder (approx.)

 $-200 < P_{inj} < 350 \, kW$ $-400 < Q_{inj} < 250 \, kVar$

Aggregate envelopes are helpful for the aggregator in the network-aware market bidding process.





Contribution 6: A real-time coordinated scheme for residential DR in LV networks under the dynamic operating envelopes framework





Approach



Workstation



Software-in-the-loop (SIL) setup



Network model in RSCAD FX 1.3.1

And set an an a set of the set of	
TANK INTERNET	W ADDRESS OF
2 Otates (a constrained a cons	
Comment of the second of the s	
the other was and the second to be the second states by the second states and state	
and the second sec	
and a second secon	
an article power (# 1 minutes added online) 1 to be a filler rege	

MATLAB script for communicating with RTDS





RTDS chassis (NovaCor processor card + GTNETx2 card)



Results

- A realistic residential LV network (102 households)
- Types of customers
 - > Passive 56
 - > DOE (only participate in DR) 30
 - Non-DOE (5-kW export limits) 16

Sampling time = **5-mins** (aligned with AEMO's operation)

Tracking performance (DR period = 2-hours)



Precise tracking of the load set-point is achieved.



Single Line Diagram of the LV network



Air-conditioner power profile



The overall approach preserves thermal comfort for DR customers



Voltage profile of selected nodes (software-in-the-loop simulation)



The voltage profile is maintained with statutory limits for the DR period.



- Introduction
- Motivations
- Objectives
- Main Contributions
- Conclusions
- Future Work
- Thesis timeline
- Publications



- Through effective **uncertainty mitigation techniques**, DR could provide accurate load set-point tracking in electricity markets.
- Factors such as **scalability**, **end-user data privacy** should also be paid attention in developing control schemes for aggregation of residential loads in DR services under uncertainties.
- Dynamic operating envelopes that specify end-user feasible operating region
 without compromising voltage limits are useful for the aggregator in determining
 flexibility in electricity markets.
- With adequate coordination between the aggregator and the DNSP, dynamic operating envelopes could be utilised for providing DR services without breaching network technical limits.



- Introduction
- Motivations
- Objectives
- Main Contributions
- Conclusions
- Future Work
- Thesis timeline
- Publications





- DR control schemes robust against **communication failures**
- Contribution of battery storage and electric vehicles to the dynamic operating envelopes framework
- Establishing operating envelopes for household connections under demand and generation uncertainties
- Effect of **controllability** and **geographical distribution of loads** on the performance of DR under the DOE framework
- Effect of **demand composition** of household loads in the provision of DR in lowvoltage residential networks



- Introduction
- Motivations
- Objectives
- Main Contributions
- Conclusions
- Future Work
- Thesis timeline

Publications



Chapter	Content	Dec 2022	Jan 2023	Feb 2023	Current status
Chapter 1	Introduction				Writing-90%
Chapter 2	Literature Review				Writing-95%
Chapter 3	Centralised control of DR for grid services under uncertainties				Writing-95%
Chapter 4	Distributed control of DR for grid services under uncertainties				Writing-95%
Chapter 5	Establishing Dynamic operating envelopes in LV distribution networks				Writing-85%
Chapter 6	Dynamic-operating envelopes enabled DR in LV distribution networks				Simulations-90% Writing-70%
Chapter 7	Conclusions and Future Work				Writing-0%
Thesis Review (M3)					
Thesis submission					



- Introduction
- Motivations
- Objectives
- Main Contributions
- Conclusions
- Future Work
- Thesis timeline
- Publications



Peer-reviewed Journals:

- G. Lankeshwara, R. Sharma, R. Yan, and T. K. Saha, "Control algorithms to mitigate the effect of uncertainties in residential demand management," Applied Energy (Elsevier), vol. 306, p. 117971, 2022, doi: <u>10.1016/j.apenergy.2021.117971</u>.
- G. Lankeshwara, R. Sharma, R. Yan, and T. K. Saha, "A hierarchical control scheme for residential air-conditioning loads to provide real-time market services under uncertainties," *Energy (Elsevier)*, vol. 250, p. 123796, 2022, doi: <u>10.1016/j.energy.2022.123796</u>.
- G. Lankeshwara and R. Sharma, "A Model-based Approach for the Robust Automation of Residential Loads to Provide Grid Services," International Journal of Control (Taylor & Francis), (first revision)
- G. Lankeshwara, R. Sharma, R. Yan, and T. K. Saha, "Operating Envelopes to Manage Low-voltage Distribution Networks," (to be submitted to IEEE Transactions on Power Systems)

Peer-reviewed Conference Papers:

- G. Lankeshwara, R. Sharma, R. Yan, and T. K. Saha, "Control of Residential Air-conditioning Loads to Provide Regulation Services under Uncertainties," in IEEE Power and Energy Society General Meeting, 2021, vol. 2021-July, pp. 1–5, doi: <u>10.1109/PESGM46819.2021.9637890</u>.
- G. Lankeshwara, "A Real-time Control Approach to Maximise the Utilisation of Rooftop PV Using Dynamic Export Limits," in 2021 IEEE PES Innovative Smart Grid Technologies Asia (ISGT Asia), Dec. 2021, pp. 1–5, doi: 10.1109/ISGTAsia49270.2021.9715714.



Thank you

Acknowledgement: Centre for Energy Data Innovation (CEDI) The University of Queensland and Redback Technologies

